

Renewable Energy Certificates Scheme Application Form (Bulk Purchase) (Year 2019)

Applicant Information		
Electricity Account Number <i>(One electricity account no. per application)</i>		
Name of Registered Customer (Applicant)^[a]		
Name of Contact Person <i>(if different from the above)</i>	English (Surname first, then other names)	Chinese
Contact Details	Phone No.	Email Address
Renewable Energy Certificate (REC) under Application		
Purchase Quantity <i>(in blocks of 100 units^[b] e.g. 5,100 units, 6,100 units)</i>	<div style="border-bottom: 1px solid black; width: 80%; display: inline-block;"></div> units (5,100 units or above)	
Email Address for Receiving REC ^[c] <i>(if different from the above)</i>		
Do you consent to the disclosure of your name as a purchaser of the REC on the HK Electric's website or other publicity / communication materials ^[d] ? <input type="checkbox"/> Yes <input type="checkbox"/> No		
Note: [a] Please fill in the full name of the registered customer of the electricity account which will be shown on the REC as the purchaser. [b] One (1) unit is equal to one (1) kWh of electricity generated from renewable energy. For details, please refer to Clause 2.1 of the Terms and Conditions (Bulk Purchase). [c] REC will be issued and dispatched in electronic format to the email address specified by the Applicant. [d] For further details, please refer to Clause 8.1 of the Terms and Conditions (Bulk Purchase). Please return the completed application form to HK Electric by email to REC@hkelectric.com (Please mark "Application for REC Bulk Purchase" in the email subject).		

Personal Data Collection Statement

Purpose of Collection

The personal data and other related information provided by you in the application form will be used by HK Electric solely for the purposes of processing your application and related matters. The provision of personal data and other related information in the form is voluntary. However, if you do not provide adequate and accurate data, we may not be able to process your application.

If you consent to the disclosure of your name, HK Electric will disclose your name in conjunction with other information regarding your purchased REC on the HK Electric's website or other publicity / communication materials. You may notify HK Electric your change in the instruction regarding your consent for the disclosure of your name by email to REC@hkelectric.com (please mark "Change in Consent Instruction for REC Bulk Purchase" in the email subject).

Transfer of Personal Data

Your application form and other related information may be provided to the HKSAR Government for auditing and verification purposes. The particulars submitted by you will also be provided to other departments or the service provider assigned by HK Electric for the purposes of verifying the particulars provided and other purposes related to your application.

HK Electric will disclose your personal data when required to do so by law or in response to requests from law enforcement agencies or the HKSAR Government, or if explicit consent to such disclosure is given by you.

Access to Personal Data

To request a full copy of the Privacy Policy Statement, for enquiry, for data access and correction, please refer to the website: www.hkelectric.com, email us at personaldata@hkelectric.com, call us at 2887 3411, fax to 2510 7667 or write to 9/F Electric Centre, 28 City Garden Road, North Point for the attention of the Personal Data Protection Officer.

Applicant Declaration

I / We declare that the information provided in this form is true and correct. I / We have read and agree to be bound by the REC Scheme Terms and Conditions (Bulk Purchase) (as may be revised from time to time). I / We also acknowledge that I / we have read and understood the Personal Data Collection Statement above.

Name of Applicant*

Signature and Company Chop (if applicable)

Job Title (if applicable)

Date

* The natural person as the Applicant, or the authorised signing person for other types of Applicant (e.g. companies, organisations, etc.)

1 Introduction

- 1.1 HK Electric introduces the Renewable Energy Certificates Scheme (the **REC Scheme**) on 1 January 2019 pursuant to the 2019-2033 Scheme of Control Agreement. Through purchasing the Renewable Energy Certificates (**REC**), customers may support for the development of renewable energy (**RE**) in Hong Kong and may make use of REC to achieve their RE/environmental targets.
- 1.2 In a calendar year, any single purchase of REC with a purchase quantity of 5,100 units or above of electricity generated from RE is qualified as **Bulk Purchase**. Multiple applications from the same electricity account are allowed. Further details regarding the REC are set out in Section 2 "Renewable Energy Certificates" below and also in HK Electric's REC Assurance Specification (the **Specification**) published on HK Electric's website at www.hkelectric.com/REC-en.
- 1.3 REC purchases that do not qualify as Bulk Purchase may qualify as **Small Purchase**, which can be applied by submitting to HK Electric a separate completed REC Application Form (Small Purchase) available on HK Electric's website at www.hkelectric.com/REC-en. For details, please refer to the terms and conditions of Small Purchase published on HK Electric's website.
- 1.4 These Terms and Conditions set out the application process and other terms and conditions for the Bulk Purchase. HK Electric may from time to time revise these Terms and Conditions and the Specification. Notice of any revision will be conclusively given by publishing the revision on HK Electric's website at www.hkelectric.com or by sending to applicants a copy of the revised Terms and Conditions and the revised Specification or an appropriate extract or summary of the same. Any such revision will replace all previously published Terms and Conditions and Specifications and will take effect from the date when the revision is first published on HK Electric's website (or such later date specified in the revision).

2 Renewable Energy Certificates

- 2.1 Each unit carried in a REC represents all the environmental attributes associated with one unit (equals to one kWh) of electricity generated from local RE sources (solar photovoltaic and wind power systems). A REC purchaser has the right to claim environmental benefits associated with the amount of electricity carried in the REC. Such unit of electricity is either generated by HK Electric or purchased from its customers through the FiT Scheme by HK Electric.
- 2.2 The environmental attributes of the electricity generated by RE which is carried in REC will be purchased in blocks with each block composing of 100 units and is priced at HK\$0.5 per unit, representing a premium to the existing electricity tariff rate. HK Electric has the sole and absolute discretion to change the unit price and any updated unit price will be published on HK Electric's website (www.hkelectric.com/REC-en).
- 2.3 The vintage of REC to be sold in a calendar year represents those electricity generated by RE during that calendar year (12 months), the last 6 months of the preceding calendar year, and the first 3 months of the following calendar year.
- 2.4 HK Electric has the sole and absolute discretion to determine the quantity of REC to be sold each year and its decision shall be final.
- 2.5 REC will be issued and dispatched in electronic format. The information shown on each REC (the **REC Information**) will include the name of the REC purchaser (same as the name of the registered customer of the electricity account), the purchase quantity, a unique certificate no., the calendar year and the issue date of the REC.

- 2.6 The public may verify the REC Information on any REC by checking against the REC Information published by HK Electric on its website. The REC Information published on HK Electric's website shall prevail in case of any discrepancy. Any incorrect REC Information on the REC should be notified to HK Electric by the Applicant for amendment on both the REC and HK Electric's website. Any changes to the information contained in a REC not made by HK Electric shall render the REC void.

3 Eligibility

- 3.1 An application for Bulk Purchase (the **Application**) will only be considered if all of the below conditions are satisfied:
- a) the applicant is a registered customer of HK Electric (the **Applicant**); and
 - b) the purchase quantity shall be 5,100 units or above.
- 3.2 If a customer satisfies the condition under Clause 3.1(a) but does not satisfy the condition under Clause 3.1(b), the customer may purchase REC under the Small Purchase by submitting a separate application.

4 Application

- 4.1 The Applicant shall make the application for the Bulk Purchase by submitting to HK Electric a duly completed REC Application Form (Bulk Purchase) (available at HK Electric's website: www.hkelectric.com/REC-en) by email to REC@hkelectric.com (Please mark "Application for REC Bulk Purchase" in the email subject).
- 4.2 HK Electric will not process the Application in the following circumstances:
- a) if the Applicant does not satisfy the eligibility conditions under Clause 3.1;
 - b) if the application form is not duly completed;
 - c) if the Applicant's information (e.g. the electricity account no. and the name of the registered customer) provided in the application form is incorrect; or
 - d) if the purchase quantity is not in blocks of 100 units.
- 4.3 HK Electric will register the Application in the Inventory System (for details regarding the Inventory System, please refer to the Specification published on HK Electric's website: www.hkelectric.com/REC-en) pending for REC allocation.

5 REC Allocation

- 5.1 HK Electric will assess the quantity of REC to be allocated to each Application received in a calendar month taking into account the total purchase quantity in all those Applications and the REC inventory available or will be available for the calendar year. HK Electric may allocate REC to an Application (i) in the full purchase quantity as requested in the Application in that calendar month if the REC inventory is sufficient to cover the full allocation of the purchase quantity in all those Applications (the **REC Allocation Quantity**); or (ii) on a pro rata basis in accordance with the total quantity requested in all Applications received in that month (the **REC Allocation Estimate**) if the REC inventory is insufficient to cover the full allocation of the purchase quantity in all those Applications. HK Electric will then send to the Applicant a **REC Allocation**

Quantity Notification email confirming the REC Allocation Quantity, or a **REC Allocation Estimate Notification** email requesting the Applicant if it elects to withdraw the Application based on the REC Allocation Estimate, or proceeds with the Application by reducing its purchase quantity requested in its Application to the REC Allocation Estimate. The Applicant is required to confirm in writing to HK Electric within 14 calendar days from the date of the REC Allocation Estimate Notification indicating its election, otherwise the Applicant will be deemed to have elected to withdraw the Application based on the REC Allocation Estimate.

- 5.2 HK Electric does not guarantee that the Applicant will be allocated a quantity over the purchase quantity of 5,100 units, or the REC Allocation Quantity, or the REC Allocation Estimate. HK Electric has the sole and absolute discretion to determine REC Allocation Quantity or REC Allocation Estimate and the quantity of REC to be allocated to an Applicant based on the factors which HK Electric considers relevant, including but not limited to, the quantity of REC to be sold each year as determined by HK Electric under Clause 2.4, and its decision shall be final.
- 5.3 The REC may be dispatched to the Applicant in phases subject to Clause 5.4 below and the charge for the REC purchase will be reflected in electricity bill(s) of the Applicant. Such charge is determined based on the prevailing unit price and the actual quantity of REC allocated to the Applicant.
- 5.4 The REC dispatch schedule will be further agreed with the Applicant after HK Electric sends out the REC Allocation Quantity Notification, or receives the confirmation to proceed with the Application under Clause 5.1. Under any circumstance, all REC to be sold to the Applicant in 2019 are expected to be dispatched not later than the end of February 2020.
- 5.5 Upon the bill is settled, HK Electric will send a **REC Dispatch Notification** email attaching the REC to the Applicant. The REC can also be viewed / downloaded via HK Electric's Account-on-Line (AOL) platform after the Applicant has separately registered for the AOL service.

6 Termination

- 6.1 The Application shall be terminated in the following circumstances:
 - a) if the Applicant withdraws the Application in accordance with Clause 5.1, HK Electric will send a **REC Application Withdrawal Confirmation** email to the Applicant confirming the withdrawal of the Application;
 - b) if the REC purchase is not paid in full within 90 calendar days from the date of the electricity bill referred to in Clause 5.3, the Application shall be automatically terminated and no REC shall be allocated to the Applicant. HK Electric will send a **REC Application Termination Notification** email to the Applicant confirming the termination of the Application. Upon such termination, (i) any partial payment for the REC purchase will be refunded to the Applicant and such refund amount will be credited to the Applicant's electricity account; (ii) HK Electric shall be entitled to, at its sole and absolute discretion, refrain the Applicant from re-applying for the purchase of REC; or
 - c) if the Applicant, at any time before the date of the electricity bill referred to in Clause 5.3, is no longer a registered customer of HK Electric. HK Electric will send a **REC Application Termination Notification** email to the Applicant confirming the termination of the Application.

7 Responsibility and Limitation of Liability

- 7.1 The sold REC cannot be cancelled or refunded, and shall not be transferred, re-sold or traded.
- 7.2 Unless otherwise stated in these Terms and Conditions and to the extent permitted by law, HK Electric shall have no responsibility in relation to the REC Scheme whatsoever, whether to the Applicant or any other party, and under no circumstances shall HK Electric be liable (whether monetary or otherwise) for:
- any loss or damage relating to and / or arising out of the REC Scheme (including but not limited to, the processing of the Applications and the allocation of REC), and regardless of whether the Application is approved or not;
 - any loss or damage to any third person; or
 - for any indirect or consequential or economic loss, or loss of revenue, profit or data.

8 Data Protection and Information Disclosure

- 8.1 The Applicants consent to HK Electric to publish on its website (or other publicity / communication materials, such as leaflets, brochures, posters, banners, videos, interviews, advertisements, websites, mobile phone applications, etc.) details of the REC, including but not limited to, the calendar year, the issue date, the certificate no. and the purchase quantity. However, HK Electric will only publish the name of the REC purchaser upon obtaining consent from the Applicant.
- 8.2 The Applicants consent to HK Electric to disclose all data and information (including but not limited to, the name of the Applicant and the purchase quantity) relating to the Applications to the HKSAR Government who may, at its discretion, verify the data and information provided by the Applicant for compliance with relevant laws and regulatory purposes under its 2019-2033 Scheme of Control Agreement.

9 General

- 9.1 A term or condition of the REC Scheme may not be waived by HK Electric except in writing.
- 9.2 If there is any inconsistency or ambiguity between the English and Chinese versions of these Terms and Conditions and the Specification, the English version shall prevail.

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